

**U.S. District Court [LIVE]  
Eastern District of TEXAS (Marshall)  
CIVIL DOCKET FOR CASE #: 2:14-cv-00997-JRG-RSP**

Johnson v. CBD Energy Limited et al  
Assigned to: Judge Rodney Gilstrap  
Referred to: Magistrate Judge Roy S. Payne  
Cause: 15:77 Securities Fraud

Date Filed: 10/27/2014  
Jury Demand: Plaintiff  
Nature of Suit: 850 Securities/Commodities  
Jurisdiction: Federal Question

**Plaintiff**

**Michael Johnson**

represented by **Phillip Kim**  
The Rosen Law Firm, PA  
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V.

**Defendant**

**CBD Energy Limited**

**Defendant**

**National Securities Corporation**

represented by **Paul Richard Bessette**  
King & Spalding LLP – Austin  
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Suite 3200  
Austin, TX 78701  
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*LEAD ATTORNEY*  
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**Defendant**

**Northland Securities, Inc.**

represented by **Paul Richard Bessette**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Defendant**

**Gerard McGowan**

**Defendant**

**Richard Pillinger**

**Defendant**

**Todd Barlow**

**Defendant**

**Carlo Botto**

**Defendant**

**William Morro**

**Defendant**

**James Greer**

**Movant**

**Shan Zhengjian**

represented by **Michael Charles Smith**  
Siebman Burg Phillips & Smith, LLP—Marshall  
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**Paul Richard Bessette**  
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**Movant**

**Charles McKissick**

represented by **Michael Charles Smith**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Paul Richard Bessette**  
(See above for address)  
*ATTORNEY TO BE NOTICED*

**Movant**

**Mahmmoud Sakhi**

represented by **Phillip Kim**  
(See above for address)

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
10/27/2014	<u>I 1</u>	COMPLAINT – <i>Class Action</i> against Todd Barlow, Carlo Botto, CBD Energy Limited, James Greer, Gerard McGowan, William Morro, National Securities Corporation, Northland Securities, Inc., Richard Pillinger ( Filing fee \$ 400 receipt number 0540–4899548.), filed by Michael Johnson. (Attachments: # <u>1</u> Certification, # <u>2</u> Civil Cover Sheet)(Gresham, R.) (Entered: 10/27/2014)
10/27/2014	<u>I</u>	Case assigned to Judge Rodney Gilstrap. (ch, ) (Entered: 10/27/2014)
10/27/2014	<u>I</u>	In accordance with the provisions of 28 USC Section 636(c), you are hereby notified that a U.S. Magistrate Judge of this district court is available to conduct any or all proceedings in this case including a jury or non–jury trial and to order the entry of a final judgment. The form <u>Consent to Proceed Before Magistrate Judge</u> is available on our website. All signed consent forms, excluding pro se parties, should be filed electronically using the event <i>Notice Regarding Consent to Proceed Before Magistrate Judge</i> . (ch, ) (Entered: 10/27/2014)
10/27/2014	<u>I 2</u>	ORDER REFERRING CASE to Magistrate Judge Roy S. Payne. Signed by Judge Rodney Gilstrap on 10/27/2014. (ch, ) (Entered: 10/27/2014)
10/27/2014	<u>I 3</u>	SUMMONS Issued as to, Carlo Botto, CBD Energy Limited, James Greer, Gerard McGowan, William Morro, National Securities Corporation, Northland Securities, Inc., Richard Pillinger. (Attachments: # <u>1</u> CBD Energy Limited, # <u>2</u> Gerard McGowan, # <u>3</u> James Greer, # <u>4</u> National Securities Corporation, # <u>5</u> Northland Securities Inc, # <u>6</u> Richard Pillinger, # <u>7</u> Todd Barlow, # <u>8</u> William Morro)(ch, ) (Entered: 10/27/2014)
10/30/2014	<u>I 4</u>	NOTICE of Attorney Appearance – Pro Hac Vice by Phillip Kim on behalf of Michael Johnson. Filing fee \$ 100, receipt number 0540–4907224. (Kim, Phillip) (Entered: 10/30/2014)
12/02/2014	<u>I 5</u>	NOTICE of Attorney Appearance by Paul Richard Bessette on behalf of National Securities Corporation, Northland Securities, Inc. (Bessette, Paul) (Entered: 12/02/2014)
12/02/2014	<u>I 6</u>	Unopposed MOTION for Extension of Time to File Answer re <u>1</u> Complaint, by National Securities Corporation, Northland Securities, Inc.. (Attachments: # <u>1</u> Text of Proposed Order Order)(Bessette, Paul) (Entered: 12/02/2014)
12/04/2014	<u>I 7</u>	ORDER granting <u>6</u> Motion for Extension of Time to Answer. Signed by Magistrate Judge Roy S. Payne on 12/03/2014. (nkl, ) (Entered: 12/04/2014)
12/15/2014	<u>I 8</u>	SUMMONS Returned Executed by Michael Johnson. National Securities Corporation served on 11/3/2014, answer due 11/24/2014. (ch, ) (Entered: 12/19/2014)
12/15/2014	<u>I 9</u>	SUMMONS Returned Executed by Michael Johnson. Northland Securities, Inc. served on 10/31/2014, answer due 11/21/2014. (ch, ) (Entered: 12/19/2014)
12/15/2014	<u>I 10</u>	SUMMONS Returned Executed by Michael Johnson. CBD Energy Limited served on 10/29/2014, answer due 11/19/2014. (ch, ) (Entered: 12/19/2014)
12/26/2014	<u>I 11</u>	MOTION for Appointment as Lead Plaintiff and Approval of Their Selection of Lead Counsel by Shan Zhengjian, Charles McKissick. (Attachments: # <u>1</u> Declaration of Michael C. Smith, # <u>2</u> Exhibit A, # <u>3</u> Exhibit B, # <u>4</u> Exhibit C, # <u>5</u> Exhibit D, # <u>6</u> Text of Proposed Order)(Smith, Michael) (Entered: 12/26/2014)
12/26/2014	<u>I 12</u>	

		MOTION to Appoint Counsel <i>and Lead Plaintiff</i> by Mahmmoud Sakhi. (Attachments: # <u>1</u> Memorandum, # <u>2</u> Text of Proposed Order, # <u>3</u> Declaration of Phillip Kim ("Kim Decl"), # <u>4</u> Exhibit 1 to Kim Decl., # <u>5</u> Exhibit 2 to Kim Decl., # <u>6</u> Exhibit 3 to Kim Decl., # <u>7</u> Exhibit 4 to Kim Decl., # <u>8</u> Exhibit 5 ot Kim Decl.)(Kim, Phillip) (Entered: 12/26/2014)
01/09/2015	<u>13</u>	NOTICE by Charles McKissick, Shan Zhengjian re <u>12</u> MOTION to Appoint Counsel <i>and Lead Plaintiff</i> " <i>Notice of Non–Opposition to Competing Motions for Appointment as Lead Plaintiff and Approval of Counsel</i> " (Smith, Michael) (Entered: 01/09/2015)
01/13/2015	<u>14</u>	NOTICE by Mahmmoud Sakhi re <u>12</u> MOTION to Appoint Counsel <i>and Lead Plaintiff</i> <i>Notice of Non–Opposition to Mr. Sakhi's Motion</i> (Kim, Phillip) (Entered: 01/13/2015)
05/19/2015	<u>15</u>	Agreed MOTION to Change Venue by Charles McKissick, National Securities Corporation, Northland Securities, Inc., Shan Zhengjian. (Attachments: # <u>1</u> Text of Proposed Order)(Bessette, Paul) (Entered: 05/19/2015)
05/19/2015	<u>16</u>	ORDER granting <u>15</u> Motion to Change Venue ORDERS the Clerk of the Court to TRANSFER this action to the United States District Court for the Southern District of Texas, Houston Division. Signed by Magistrate Judge Roy S. Payne on 5/19/2015. (ch, ) (Entered: 05/19/2015)